

City Auditor's Integrity Unit Fiscal Year 2018 Activities

October 2018

We investigate fraud, waste, and abuse by City officials, including employees, and City contractors

Outputs and Outcomes

We completed 8 investigations this fiscal year, issued 4 reports, and filed 2 complaints.

Department	Violation	Action
Communication and Public Information*	misuse of resources	disciplinary action
Human Resources Department	participation in game of chance	disciplinary action
Austin Energy	time sheet fraud	pending ERC review**
Austin Code	waste	employee resigned
Fleet Services	misuse of resources	employee resigned
Mayor and Council	conflict of interest	pending ERC review**

*Investigations completed in the prior fiscal year but report issued in the current fiscal year.

**The Ethics Review Commission (ERC) has jurisdiction over individuals, such as executives, former employees, commissioners, and employees not covered by Civil Service.

Action Taken for Reports Issued in FY18*

100%

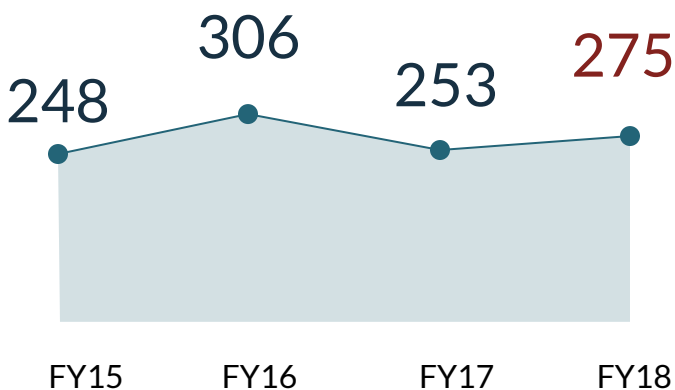
N/A

of substantiated investigations resulted in action taken by management or employee resignation

All investigations filed with ERC are still pending review

*To date, the ERC has taken accountability action in 33% of cases

Allegations Received



Allegation Jurisdiction

Many allegations were not in our jurisdiction and were referred to a more appropriate party. For any allegation that appears to be in our jurisdiction, we conduct a due diligence review to determine if there is merit to it. If so, we open an investigation.

41%

Our jurisdiction

59%

Outside our jurisdiction

personnel issues
operational issues/other
criminal issues